

**Form No. MGT-7****Annual Return (other than OPCs and Small Companies)**

[Pursuant to sub-section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

All fields marked in \* are mandatory

Refer instruction kit for filing the form



Form language

☒ English ☐ Hindi**I REGISTRATION AND OTHER DETAILS**

i \*Corporate Identity Number (CIN)

L67120PN2007PLC130374

ii (a) \*Financial year for which the annual return is being filed (From date) (DD/MM/YYYY)

01/04/2024

(b) \*Financial year for which the annual return is being filed (To date) (DD/MM/YYYY)

31/03/2025

(c) \*Type of Annual filing

☒ Original☐ Revised

(d) SRN of MGT-7 filed earlier for the same financial years

iii

Particulars	As on filing date	As on the financial year end date
Name of the company	PUNE E - STOCK BROKING LIMITED	PUNE E - STOCK BROKING LIMITED
Registered office address	1198 SHUKRAWAR PETH SHUBHASH NAGAR, LANE NO 3,NA,PUNE,Maharashtra,India,411002	1198 SHUKRAWAR PETH SHUBHASH NAGAR, LANE NO 3,NA,PUNE,Maharashtra,India,411002
Latitude details	18.504292	18.504292
Longitude details	73.856557	73.856557

(a) \*Photograph of the registered office of the Company showing external building and name prominently visible

Photohgraphs of RO-E Stock.pdf

(b) \*Permanent Account Number (PAN) of the company

AA\*\*\*\*\*2K

(c) \*e-mail ID of the company

\*\*\*\*\*sb.co.in

(d) \*Telephone number with STD code

02\*\*\*\*\*00

(e) Website

www.pesb.co.in

iv \*Date of Incorporation (DD/MM/YYYY)

23/06/2007

v (a) \*Class of Company (as on the financial year end date)  
(Private company/Public Company/One Person Company)

Public company

(b) \*Category of the Company (as on the financial year end date)  
(Company limited by shares/Company limited by guarantee/Unlimited company)

Company limited by shares

(c) \*Sub-category of the Company (as on the financial year end date)  
(Indian Non-Government company/Union Government Company/State Government Company/  
Guarantee and association company/Subsidiary of Foreign Company)

Indian Non-Government company

vi \*Whether company is having share capital (as on the financial year end date)

☒ Yes

☐ No

vii (a) Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(b) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	Bombay Stock Exchange (BSE)	A1 - Bombay Stock Exchange (BSE)

viii Number of Registrar and Transfer Agent

1

CIN of the Registrar and Transfer Agent	Name of the Registrar and Transfer Agent	Registered office address of the Registrar and Transfer Agents	SEBI registration number of Registrar and Transfer Agent
U99999MH1994PTC076534	BIGSHARE SERVICES PRIVATE LIMITED	Pinnacle Business Park, Office no S6-2 ,6th floor,, Mahakali Caves Road , Next to Ahura Centre, Andheri East,Mumbai,Mumbai,Maharashtra,India,400093	

ix \* (a) Whether Annual General Meeting (AGM) held

☒ Yes

☐ No

(b) If yes, date of AGM (DD/MM/YYYY)

18/09/2025

(c) Due date of AGM (DD/MM/YYYY)

30/09/2025

(d) Whether any extension for AGM granted

☐ Yes

☒ No

(e) If yes, provide the Service Request Number (SRN) of the GNL-1 application form filed for extension

(f) Extended due date of AGM after grant of extension (DD/MM/YYYY)

(g) Specify the reasons for not holding the same

## II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

i \*Number of business activities

1

S. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance activities	66	Other financial activities	100

## III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

i \*No. of Companies for which information is to be given

4

S. No.	CIN /FCRN	Other registration number	Name of the company	Holding/ Subsidiary/Associate/Jo int Venture	% of shares held
1	U67120PN1982PLC021178		PUNE FINVEST LIMITED	Subsidiary	79.46
2	U67200PN2022PLC214225		PESB INSURANCE BROKING LIMITED	Subsidiary	100
3	U67190GJ2022PLC135557		PUNE ESTOCK BROKING IFSC LIMITED	Subsidiary	100
4		ACK-5905	PESB Asset Management LLP	Associate	99

## IV SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### i SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	18050000.00	15650858.00	15650858.00	15650858.00

Total amount of equity shares (in rupees)	180500000.00	156508580.00	156508580.00	156508580.00
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Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Equity				
Number of equity shares	18050000	15650858	15650858	15650858
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees )	180500000.00	156508580.00	156508580	156508580

#### (b) Preference share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	0.00	0.00	0.00	0.00
Total amount of preference shares (in rupees)	0.00	0.00	0.00	0.00

Number of classes

0

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees )				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>(i) Equity shares</b>						
<b>At the beginning of the year</b>	199925	15450933	15650858.00	156508580	156508580	
<b>Increase during the year</b>	0.00	43985.00	43985.00	439850.00	439850.00	0
i Public Issues	0	0	0.00	0	0	
ii Rights issue	0	0	0.00	0	0	
iii Bonus issue	0	0	0.00	0	0	
iv Private Placement/ Preferential allotment	0	0	0.00	0	0	
v ESOPs	0	0	0.00	0	0	
vi Sweat equity shares allotted	0	0	0.00	0	0	
vii Conversion of Preference share	0	0	0.00	0	0	
viii Conversion of Debentures	0	0	0.00	0	0	
ix GDRs/ADRs	0	0	0.00	0	0	
x Others, specify Dematerialization	0	43985	43985.00	439850	439850	
<b>Decrease during the year</b>	43985.00	0.00	43985.00	439850.00	439850.00	0
i Buy-back of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify Dematerialization	43985	0	43985.00	439850	439850	
<b>At the end of the year</b>	155940.00	15494918.00	15650858.00	156508580.00	156508580.00	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
<b>(ii) Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0.00	0	0	
<b>Increase during the year</b>	0.00	0.00	0.00	0.00	0.00	0
i Issues of shares	0	0	0.00	0	0	
ii Re-issue of forfeited shares	0	0	0.00	0	0	
iii Others, specify <div></div>			0			
<b>Decrease during the year</b>	0.00	0.00	0.00	0.00	0.00	0
i Redemption of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify <div></div>			0			
<b>At the end of the year</b>	0.00	0.00	0.00	0.00	0.00	

ISIN of the equity shares of the company

INE510U01018

ii Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		<div></div>
Before split / Consolidation	Number of shares	
	Face value per share	
After split / consolidation	Number of shares	
	Face value per share	

iii Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)

☒ Nil

Number of transfers

**Attachments:**

1. Details of shares/Debentures Transfers

**iv Debentures (Outstanding as at the end of financial year)**

**(a) Non-convertible debentures**

\*Number of classes

0

Classes of non-convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
<b>Total</b>			

Classes of non-convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Total</b>				

**(b) Partly convertible debentures**

\*Number of classes

0

Classes of partly convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
<b>Total</b>			

Classes of partly convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year

<b>Total</b>				
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**(c) Fully convertible debentures**

\*Number of classes

0

Classes of fully convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
<b>Total</b>			

Classes of fully convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Total</b>				

**(d) Summary of Indebtedness**

Particulars	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0.00	0.00	0.00	0.00
Partly convertible debentures	0.00	0.00	0.00	0.00
Fully convertible debentures	0.00	0.00	0.00	0.00
<b>Total</b>	0.00	0.00	0.00	0.00

**v Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					



**V Turnover and net worth of the company (as defined in the Companies Act, 2013)**

i \*Turnover

608831000

ii \* Net worth of the Company

1063790532

**VI SHARE HOLDING PATTERN****A Promoters**

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	8049859	51.43	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00

9	Body corporate (not mentioned above)	0	0.00	0	0.00
10	Others <div></div>				
	<b>Total</b>	8049859.00	51.43	0.00	0

Total number of shareholders (promoters)

17

## B Public/Other than promoters

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	5496489	35.12	0	0.00
	(ii) Non-resident Indian (NRI)	23200	0.15	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00

9	Body corporate (not mentioned above)	1312748	8.39	0	0.00
10	Others <div>AIF, FPI, etc</div>	768562	4.91	0	0.00
	<b>Total</b>	7600999.00	48.57	0.00	0

Total number of shareholders (other than promoters)

757

Total number of shareholders (Promoters + Public/Other than promoters)

774.00

Breakup of total number of shareholders (Promoters + Other than promoters)

Sl.No	Category	
1	Individual - Female	252
2	Individual - Male	473
3	Individual - Transgender	0
4	Other than individuals	49
	<b>Total</b>	774.00

C Details of Foreign institutional investors' (FIIs) holding shares of the company

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

## VII NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

[Details of Promoters, Members (other than promoters), Debenture holders]

Details	At the beginning of the year	At the end of the year
Promoters	17	17
Members (other than promoters)	697	757
Debenture holders	0	0

## VIII DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A Promoter</b>	1	3	1	3	11.27	28.4
<b>B Non-Promoter</b>	3	7	3	7	1.24	0.00
i Non-Independent	3	0	3	0	1.24	0
ii Independent	0	7	0	7	0	0
<b>C Nominee Directors representing</b>	0	0	0	0	0.00	0.00
i. Banks and FIs	0	0	0	0	0	0
ii Investing institutions	0	0	0	0	0	0
iii Government	0	0	0	0	0	0
iv Small share holders	0	0	0	0	0	0
v Others	0	0	0	0	0	0
<b>Total</b>	4	10	4	10	12.51	28.40

\*Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

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### B (i) Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any) (DD/MM/YYYY)
DAIDIPYA DEVENDRA GHODNADIKAR	07285425	Whole-time director	194695	
DEVENDRA RAMCHANDRA GHODNADIKAR	00185254	Director	1708185	
SANDIP SUNDERLAL SHAH	02078891	Director	600000	
SALEEM CHANDSAHEB YALAGI	08107626	Whole-time director	0	

ARCHANA VINAYAK GORHE	02966578	Whole-time director	0	
VRAJESH KRISHNAKUMAR SHAH	00184961	Managing Director	1764598	
VRAJESH NAVNITBHAI SHAH	02061835	Director	1948794	
MADANLAL SHANTILAL JAIN	00201136	Director	0	
SUYOG MANGESH BAGUL	10338207	Director	0	
NIKHIL SURYAKANT SETIYA	10338212	Director	0	
RAJESH HIRALAL SHAH	01133470	Director	0	
ANUJKUMAR CHANDRAVADAN GANDHI	01418350	Director	0	
ASHOKKUMAR VENILAL SURATWALA	00147798	Director	0	
JITENDRA UTTAMCHAND LODHA	08588060	Director	0	
ASHWINI ASHISH KULKARNI	AMVPN5234C	Company Secretary	0	
ARPIT SANDIP SHAH	09716727	CFO	0	

**B (ii) \*Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation (DD/MM/YYYY)	Nature of change (Appointment/ Change in designation/ Cessation)
ARCHANA VINAYAK GORHE	02966578	Whole-time director	01/04/2024	Appointment
SALEEM CHANDSAHEB YALAGI	08107626	Whole-time director	01/04/2024	Appointment

**IX MEETINGS OF MEMBERS/CLASS OF MEMBERS/ BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

\*Number of meetings held

1

Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	31/08/2024	720	11	33.98

## B BOARD MEETINGS

\*Number of meetings held

7

S.No	Date of meeting (DD/MM/YYYY)	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	14/05/2024	14	14	100
2	31/07/2024	14	14	100
3	22/08/2024	14	14	100
4	19/09/2024	14	13	92.86
5	08/11/2024	14	13	92.86
6	07/02/2025	14	12	85.71
7	24/03/2025	14	11	78.57

## C COMMITTEE MEETINGS

Number of meetings held

9

S.No	Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members as on the date of meeting	Attendance	
				Number of members attended	% of attendance
1	Corporate Social Responsibility Committee	14/05/2024	3	3	100

2	Corporate Social Responsibility Committee	08/11/2024	3	3	100
3	Audit Committee	14/05/2024	3	3	100
4	Audit Committee	31/07/2024	3	3	100
5	Audit Committee	08/11/2024	3	3	100
6	Stakeholders Relationship Committee	31/07/2024	3	3	100
7	Stakeholders Relationship Committee	08/11/2024	3	3	100
8	Nomination and Remuneration Committee	31/07/2024	4	4	100
9	Independent Directors meeting	25/03/2025	7	7	100

#### D ATTENDANCE OF DIRECTORS

S. No	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	18/09/2025 (Y/N/NA)
1	SANDIP SUNDERLAL SHAH	7	7	100	2	2	100	Yes
2	SALEEM CHANDSAHEB YALAGI	7	7	100	0	0	0	Yes
3	ARCHANA VINAYAK GORHE	7	7	100	0	0	0	Yes
4	VRAJESH KRISHNAKUMAR SHAH	7	7	100	2	2	100	Yes
5	VRAJESH NAVNITBHAI SHAH	7	7	100	4	4	100	Yes
6	MADANLAL SHANTILAL JAIN	7	7	100	3	3	100	No
7	SUYOG MANGESH BAGUL	7	6	85	3	3	100	Yes
8	NIKHIL SURYAKANT SETIYA	7	6	85	5	5	100	Yes
9	RAJESH HIRALAL SHAH	7	6	85	2	2	100	No
10	ANUJKUMAR CHANDRAVADAN GANDHI	7	6	85	1	1	100	No

11	ASHOKKUMAR VENILAL SURATWALA	7	5	71	4	4	100	Yes
12	JITENDRA UTTAMCHAND LODHA	7	7	100	2	2	100	No
13	DAIDIPYA DEVENDRA GHODNADIKAR	7	6	85	0	0	0	Yes
14	DEVENDRA RAMCHANDRA GHODNADIKAR	7	7	100	4	4	100	No

#### X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

A \*Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	DAIDIPYA DEVENDRA GHODNADIKAR	Whole-time director	1860000	0	0	155000	2015000.00
2	SALEEM CHANDSAHEB YALAGI	Whole-time director	1980000	0	0	165000	2145000.00
3	ARCHANA VINAYAK GORHE	Whole-time director	1356000	0	0	113000	1469000.00
	<b>Total</b>		5196000.00	0.00	0.00	433000.00	5629000.00

B \*Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	ASHWINI ASHISH KULKARNI	Company Secretary	684000	0	0	57000	741000.00
2	ARPIT SANDIP SHAH	CFO	1795000	0	0	160000	1955000.00
	<b>Total</b>		2479000.00	0.00	0.00	217000.00	2696000.00

C \*Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	MADANLAL SHANTILAL JAIN	Director	7000				7000.00



2	SUYOG MANGESH BAGUL	Director	6000				6000.00
3	NIKHIL SURYAKANT SETIYA	Director	6000				6000.00
4	RAJESH HIRALAL SHAH	Director	6000				6000.00
5	ANUJKUMAR CHANDRAVADANG ANDHI	Director	6000				6000.00
6	ASHOKKUMAR VENILALSURATWAL A	Director	5000				5000.00
7	JITENDRA UTTAMCHAND LODHA	Director	7000				7000.00
	<b>Total</b>		43000.00	0.00	0.00	0.00	43000.00

#### XI MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A \*Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☐ Yes ☒ No

B If No, give reasons/observations

As per annexure

#### XII PENALTY AND PUNISHMENT – DETAILS THEREOF

A \*DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/ DIRECTORS/OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

B \*DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

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### XIII Details of Shareholder / Debenture holder

Number of shareholder/ debenture holder

774

### XIV Attachments

(a) List of share holders, debenture holders

MGT-7 Details of Shareholder-  
PESB 2025.xlsm

(b) Optional Attachment(s), if any

MGT 7 Annexure.pdf  
Pune E-Stock Broking\_Form  
no.MGT-8.pdf

### XV COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

#### I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

I/ We have examined the registers, records and books and papers of

PUNE E - STOCK BROKING  
LIMITED

as required to be

maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on

(DD/MM/YYYY) 31/03/2025

In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:

A The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

- 1 its status under the Act;
- 2 maintenance of registers/records & making entries therein within the time prescribed therefor;
- 3 filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
- 4 calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the

company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;

5 closure of Register of Members / Security holders, as the case may be.

6 advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;

7 contracts/arrangements with related parties as specified in section 188 of the Act;

8 issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;

9 keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act

10 declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

11 signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;

12 constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;

13 appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;

14 approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

15 acceptance/ renewal/ repayment of deposits;

16 borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;

17 loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;

18 alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

To be digitally signed by

Name

Shailesh Indapurkar

Date (DD/MM/YYYY)

29/12/2025

Place

Pune

Whether associate or fellow:

☒ Associate ☐ Fellow

Certificate of practice number

5\*0\*

**XVI Declaration under Rule 9(4) of the Companies (Management and Administration) Rules, 2014**

\*(a) DIN/PAN/Membership number of Designated Person

00184961

\*(b) Name of the Designated Person

VRAJESH KRISHNAKUMAR SHAH

## Declaration

I am authorised by the Board of Directors of the Company vide resolution number\*  dated\*  
(DD/MM/YYYY)  to sign this form and declare that all the requirements of Companies Act, 2013

and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.

2 All the required attachments have been completely and legibly attached to this form.

**\*To be digitally signed by**

**\*Designation**

(Director /Liquidator/ Interim Resolution Professional (IRP)/Resolution Professional (RP))

Director

**\*DIN of the Director; or PAN of the Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator**

0\*9\*6\*7\*

**\*To be digitally signed by**

☒ Company Secretary ☐ Company secretary in practice

**\*Whether associate or fellow:**

☒ Associate ☐ Fellow

Membership number

3\*2\*4

Certificate of practice number

**Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.**

**For office use only:**

eForm Service request number (SRN)

AC0495257

eForm filing date (DD/MM/YYYY)

31/12/2025

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**